

Corporate Compliance and Ethics Test

1. Corporate Compliance is:
 - a. A willingness to sacrifice personal interest for the good of the business
 - b. A set of guidelines to help agencies follow federal and state laws, and the rules of Federal, state and private health plans
 - c. The agreement that corporations allow doctors to make final decisions
 - d. A set of guidelines to help agencies follow the rules of private health plans
2. Some benefits of corporate compliance programs are:
 - a. Identifying and stopping criminal and unethical behavior
 - b. Encouraging employees to report potential problems
 - c. Limiting activities that may cause legal or ethical problems
 - d. Building a sense of teamwork
 - e. A, B and C
3. Which of the following are components of a corporate compliance program?
 - a. Enforcement – making sure rules are followed
 - b. Effective employee education
 - c. All employees are distributed or have ease of access to all written rules.
 - d. A, B and C
 - e. B and C only
4. Which of the following activities should be reported?
 - a. Accepting bribes
 - b. Billing for more time or services than actually provided
 - c. Providing treatment without a valid license
 - d. A and C only
 - e. A, B, and C
5. Identifying and preventing fraud, abuse and waste is the purpose of Corporate Compliance programs.
 - a. True
 - b. False
6. A benefit of Corporate Compliance programs is that organizations show that they are committed to being honest and responsible.
 - a. True
 - b. False
7. Ethics is simply a matter of what is legal or illegal.
 - a. True
 - b. False
8. Compliance is simply a matter of not breaking the law.
 - a. True
 - b. False
9. Compliance policies do not need to be written down and distributed to employees.
 - a. True
 - b. False

10. Employees are responsible for reporting wrongdoing and suspected wrongdoing.
- True
 - False
11. A mistake in record-keeping cannot be seen as fraud.
- True
 - False
12. The Federal government is more closely investigating possible wrongdoing than in the past.
- True
 - False
13. Whistle-blowers are protected by Federal and state law.
- True
 - False
14. Which of the following statements below is NOT an accurate reflection of ethical business practices?
- Accurate billing procedure
 - Accurate filing of claims
 - Billing for services not rendered
 - Accurate medical record documentation
15. Fraud is an unintentional act that results in unfair gain or benefit.
- True
 - False
16. _____ is a set of moral principles and values. It is the discipline of dealing with right and wrong.
- Fraud
 - Ethics
 - Morals
 - None of the above
17. As an employee, which of the following should you do when you witness fraud?
- Report cases of actual fraud.
 - Ignore cases of suspected fraud.
 - Follow the policies and procedures.
 - Contact a designated person.
 - A, C & D
18. Which of the following should you do to prevent instances that could be interpreted as fraud?
- Follow policies and procedures.
 - Document accurately.
 - Cooperate in internal audits.
 - Know how to do your job.
 - All of the above.
19. An individual can be held liable for knowingly making a false claim.
- True
 - False
20. Individuals who report fraud and abuse by their organization may be discharged in retaliation.
- True
 - False

21. Which of the following are examples of giving false information?
- Duplicate billing
 - False codes on healthcare visits to obtain higher reimbursement
 - Claims for home visits
 - A & B
22. _____ refers to well based standards of right and wrong that prescribe what humans ought to do, usually in terms of rights, obligations, benefits to society, fairness, or specific virtues.
- Fraud
 - Ethics
 - Morals
 - Knowingly
 - None of the above
23. Healthcare organizations' policies and procedures must include _____ to comply with the DRA requirements.
- The federal False Claims Act
 - Administrative remedies for false claims
 - State laws relating to false claims
 - Whistleblower protections
 - All of the above
24. Failure to comply with DRA requirements may result in _____. A. Exclusion from participating in the Medicaid program
- Development of an MIC
 - Tax penalties
 - Reinstating contractors
 - All of the above
25. One of the obvious weaknesses of the Affordable Care Act is the lack of anti-fraud provisions.
- True
 - False
26. At Shiawassee Health & Wellness an employee can report suspected fraud or abuse by:
- Calling the Corporate Compliance Hotline
 - Report using the designated form
 - Telling the Corporate Compliance Officer directly through the open door policy
 - Faxing a complaint to the designated Corporate Compliance fax
 - All of the above
27. Habitual or re-occurring billing "mistakes" could be interpreted as fraud.
- True
 - False
28. The Affordable Health Care Act promotes the use of private sector investigators by the Federal government to reduce and eliminate fraud.
- True
 - False
29. The Affordable Care Act coordinates and consolidates fraud fighting efforts across Medicaid and Medicare.
- True
 - False
30. The designated Corporate Compliance Officer for Shiawassee County Community Mental Health Authority is?
- Doug Meylan
 - Dirk Love
 - Debie Hoenshell
 - Craig Hause
 - None of the above